

**STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

2329 S. MacArthur Blvd.
Springfield, Illinois 62704-4503
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph St., Ste. 14-100
Chicago, Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



**EXECUTIVE DIRECTOR
Steven S. Sandvoss**

BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

**AGENDA
STATE BOARD OF ELECTIONS
BOARD MEETING
Monday, November 21 2016
10:30 a.m.**

2329 S. MacArthur Blvd.
Springfield, Illinois
and via videoconference
James R. Thompson Center – Suite 14-100
Chicago, Illinois

Roll call.

1. Approval of the minutes from the October 18 meeting. (pgs.1-5)
2. Presentation of resolution to retiring staff member Vera Bolden.
3. Presentation of staff service awards;
 - a. Gary Nerone – 15 year award;
 - b. Kim Patrick – 15 year award;
 - c. Kyle Thomas – 15 year award;
 - d. Bruce Brown – 20 year award;
 - e. Kevin Turner – 30 year award.
4. Report of the General Counsel
 - a. Campaign Disclosure;
Request for settlement offer
 - 1) *SBE v. Friends of Kelvin Oliver*, 25189, 14JQ138; (pgs.6-7)
Appeals of campaign disclosure fines – hearing officer recommendation appeals be granted
 - 2) *SBE v. Pike County Republican Central Committee*, 591, 16JQ007; (pgs.8-10)
 - 3) *SBE v. Citizens for Michael F. Sheahan*, 5857, 16JQ016; (pgs.11-13)
 - 4) *SBE v. Village Party*, 12780, 16AD028; (pgs.14-17)
 - 5) *SBE v. Citizens for Alderman Reilly*, 19263, 16AJ019; (pgs.18-21)
 - 6) *SBE v. Ballot Access Illinois*, 25933, 16AM054; (pgs.22-25)
 - 7) *SBE v. Friends of George Vargas*, 31722, 16AQ028; (pgs.26-29)
 - 8) *SBE v. Maria Bedolla LaCour for the Citizens of Waukegan*, 32066, 16JQ147; (pgs.30-32)
Appeals of campaign disclosure fines – hearing officer recommendation appeals be denied
 - 9) *SBE v. Williamson County Republican Central Committee*, 584, 16AJ008; (pgs.33-36)
 - 10) *SBE v. Citizens for Theilen*, 20255, 16AJ022; (pgs.37-39)
 - 11) *SBE v. Citizens for Powers*, 31887, 16D1003; (pgs.40-42)
Violation of a board order
 - 12) *Johnson v. Kane County Conservative Coalition*, 14CD109; (pgs.43-44)

Other campaign disclosure items

13) Payment of civil penalty assessments; (pg.45)

Complaints following closed preliminary hearing – separate packet

14) *SBE v. Friends Supporting Flora Digby*, 16CD131. (pgs.1-3)

5. Report of the Executive Director
 - a. November 8, 2016 General Election update;
 - 1) Post-election report; (will be sent under separate cover)
 - 2) Election day staff assignments; (pgs.46-47)
 - 3) Voting system pre-election testing; (pg.48-49)
 - 4) Election judge training schools – informational; (pgs.50-52)
 - b. Legislative update; (oral report)
 - c. Senate Bill 172/Database intrusion update; (oral report)
 - d. Request from GARS for ballot tabulation; (pgs.53-56)
 - e. Six month review of executive session minutes; (pg.57)
 - f. Fiscal status reports – informational;
 - 1) FY16/17 – month ending October 31; (pgs.58-60)
 - 2) FY16– Help Illinois Vote Fund; (pgs.61-66)
 - g. Two year plan of staff activity for the months of November & December – informational. (pgs.67-70)
6. Follow up. (pg.71)
7. Comments from the general public. (pg.71)
8. Next Board Meeting scheduled for Monday, December 5, 2016 in Chicago. (pg.71)
9. Executive Session. (pgs.72-83)

STATE BOARD OF ELECTIONS
Regular Meeting
Tuesday, October 18, 2016

MINUTES

PRESENT:	Charles W. Scholz, Chairman William J. Cadigan, Member Andrew K. Carruthers, Member John R. Keith, Member William M. McGuffage, Member Casandra B. Watson, Member
VIA TELEPHONE CONFERENCE:	Ernest L. Gowen, Vice Chairman Betty J. Coffrin, Member
ALSO PRESENT:	Steven S. Sandvoss, Executive Director James Tenuto, Assistant Executive Director Kenneth R. Menzel, General Counsel Darlene Gervase, Admin. Assistant III

The Chairman called the meeting to order at 10:30 a.m. and led everyone in the pledge of allegiance.

Six Board Members were present in Chicago and two on a telephonic conference call.

Chairman Scholz asked for a motion to recess to the State Officers Electoral Board. Member McGuffage so moved and Member Watson seconded the motion which passed unanimously.

The State Board of Elections recessed to the State Officers Electoral Board at 10:33 a.m. and returned at 10: 45 a.m.

Chairman Scholz indicated that, despite the wording in the transcript, he intended to have a No vote cast by proxy as to the Motion by Member Carruthers to Deny the Respondent's Motion to Stay. Member McGuffage asked the record reflect that his proxy should have been voted "No" and Member Watson also requested her vote reflect "No". Further that the record show the transcript was inaccurate as it showed a vote total of 8-0 and should have been 7-1 with Chairman Scholz voting against the Motion. The Chairman asked for a Motion to adopt the minutes as corrected. Member McGuffage so moved and Member Watson seconded the Motion which passed unanimously.

The General Counsel began his report with a Request for settlement offer in Item 4.a.1) *SBE v. Committee to Elect Karen Elyea*, 25371. He indicated that the Respondent paid their fine and should be removed from the list on page 109 for Assessment and Board Orders. Member Cadigan moved and Member Carruthers seconded the Motion to adopt the General Counsel's recommendation. The Motion passed 8-0 by roll call vote.

Next, Appeals of campaign disclosure fines wherein the hearing officers recommended the appeals be granted were considered. Mr. Menzel concurred with the Recommendations. Member McGuffage so moved and Member Watson seconded the Motion which passed unanimously. Those matters are: 4.a.2) *SBE v. Pope County Democratic Central Committee*,

1045, 16JQ11; 3) *SBE v. Citizens for Sherwin*, 12365, 16AM041; 4) *SBE v. Committee to Elect Jim Soeldner*, 21394, 16DQ125; and 5) *SBE v. Friends of Jillian Bernas*, 31798, 16AM058.

Appeals of campaign disclosure fines where the hearing officers recommended the appeals be denied were considered. The General Counsel concurred with the hearing officers' recommendations that the appeals be denied with two exceptions: Agenda Item 4 a. 8: *SBE v. Bradley A. Stephens Committeeman Fund* and 4.a.11 *SBE v. International Association of Firefighters Local 37 PAC Fund*.

Agenda Item 4 a. 8: *SBE v. Bradley A. Stephens Committeeman Fund* self-reported omissions from 3 and 4 years ago. This committee has a fair amount of funds moving through it and these omissions would not have come to light if the committee had not self-reported. Further, the committee has had only two violations in the past 12+ years. The General Counsel recommended the three fines be imposed as a 10% first violation rate, reducing the fine to \$1,670.00. Attorney Tom Bastian appeared for the Respondent as well as Respondent Stephens. Member McGuffage moved to adopt the General Counsel's recommendation. Member Watson seconded the Motion which passed 8-0 by roll call vote.

The Chairman accepted the Appearance of the Curt Stenson, Treasure for Respondent, in Agenda Item 4 a. 11: *SBE v. International Association of Firefighters Local 37 PAC Fund*. The Committee's quarterly report was filed 11 days late. The Treasurer indicated that he had entered the necessary information into IDIS, but logged out forgetting to actually submit the report. While the IDIS system did not malfunction in any way, the Board has allowed user errors similar to this one to get the benefit of the one-time electronic filing defense. This Committee has not previously used the electronic filing defense, and Mr. Stenson indicated he would like to use that defense. Member McGuffage so moved and Member Keith seconded the Motion, which passed unanimously by roll call vote.

As to the remainder of the appeals wherein the hearing officers recommended the Appeals be denied, the General Counsel Concurred. Member Carruthers moved moved to adopt the Hearing Officer's recommendations wherein the General Counsel concurred and deny the appeals. Member Cadigan seconded the Motion which passed unanimously. Those matters are: Item 4.a.6) *SBE v. White County Democratic Central Committee*, 281, 16JQ002; 7) *SBE v. Illinois Restauranters PAC*, 543, 16MA033; 9) *SBE v. Kane County Conservative Coalition*, 16931, 16JQ029; 10) *SBE v. Rainey for Alderman*, 18461, 16JQ034; 12) *SBE v. Illinois Gunowner Rights Org. (IGRO)*, 21427, 16JQ046; 13) *SBE v. Craig Taylor Campaign*, 22812, 16JQ057; 14) *SBE v. Schefflow for Kane 22*, 23957, 16JQ070; 15) *SBE v. 1 Cent for Children of LaSalle County Schools*, 24657, 16MA042; 16) *SBE v. Friends of Deborah Morelli*, 25843, 16JQ096; 17) *SBE v. People for Change*, 30602, 16JQ126; and 18) *SBE v. Committee to Elect Elisabeth Dunbar*, 32153, 16JQ154.

An appeal of campaign disclosure fines was requested in 4.a.19) *SBE v. Friends of Jake Lee*, 32009, 16MA056. Mr. Menzel concurred with the hearing officer's recommendation to grant the Appeal as to the first quarter and to deny the appeal with regards to the second quarter adding that the \$50 fine would be stayed as a first violation. Member Keith moved to adopt the hearing officer and General Counsel's recommendations. Member Watson seconded the motion which passed 8-0 by roll call vote.

Mr. Menzel updated the Board on 4.a.20) *Cooke v. Committee for Frank J. Mautino*, 16CD093. Discovery requests, subpoenas, notices to produce, etc. are due to be returned Friday, October 21st. Further that the Committee filed for judicial review of the Board's September order denying the request to stay and have asked for a motion to stay to approve the appellate court. The Attorney General filed a response opposing the motion to stay. Unless the first district orders a motion to stay, everything is returnable on Friday, the 21st. Hearing Officer Krasny would proceed thereafter.

General Counsel Menzel submitted a list of committees assessed a civil penalty that were not appealed and should be issued a Final Board Order. Member Keith recused himself from one committee, 12048, Citizens for Jim Langfelder. Member Cadigan moved to adopt the civil penalty assessment issuing a board order as set forth in Tom Newman's memo contained on pages 107-111 of the Board's packet. Member Carruthers seconded the Motion. The Motion passed unanimously with Member Keith noting his recusal from the aforementioned committee.

Random Audits covering a two-year period were conducted on 72 committees, their officers and associated candidates. Committees were allowed to select an auditor, but prohibited selecting anyone who contributed to their committees in the last 4 years. The audits were to be submitted by July 5, 2016 and complete and return an Auditor Selection Form. Sixty-eight of the 72 committees fully complied. Sixteen committees certified they were unable to afford the audits and filed Final Reports. Four committees did not file audit reports. Under 10 ILCS 5/9-13(f), those 4 committees may be fined up to \$250 per day the audit is late up to a maximum of \$5,000. State's Attorneys in the counties non-compliant committees are located were contacted for possible enforcement. No action is required by the Board.

Payments of civil penalties on pages 113 and 114 of the Board's packet were presented for informational purposes.

The Chairman asked for a motion to recess to Executive Session to hear complaints following closed preliminary hearings, litigation and personnel matters. Member McGuffage so moved and Member Carruthers seconded the motion which passed unanimously. The Board recessed to Executive Session at approximately 11:00 a.m.

The Board returned to open session at 12:30 p.m. with 5 members present in Chicago and two via telephonic conference call. Member Keith left the meeting at approximately 11:20 a.m. and the Chairman held his proxy.

Member Carruthers summarized the matters that were heard in executive session.

As to No. 24, Member Carruthers moved to dismiss the complaint for want of prosecution. Member McGuffage seconded and the motion passed unanimously by roll call vote.

As to No. 25, Member Carruthers moved to adopt the recommendation of the hearing officer as concurred by the general counsel and find that the complaint was not filed on justifiable grounds and that the board take no further action at this time. Member Watson seconded the motion which passed unanimously.

Items 26 and 27 were taken together. Member Carruthers moved to find the complaints relating to those two matters were not filed on justifiable grounds and the board take no further action at this time. Member Cadigan seconded and the motion which passed 8-0.

As to No 28, Member Carruthers moved the complaint was filed on justifiable grounds but the board takes no further action at this time. Member Cadigan seconded and the motion passed unanimously.

Member Carruthers moved the complaint was not filed on justifiable grounds in no. 29 and the board take no further action at this time. Member Cadigan seconded the motion which passed unanimously.

As to No. 30, Member Carruthers moved to dismiss for want of prosecution and Member Cadigan seconded. The motion passed unanimously.

Member Carruthers moved as to No. 31, to dismiss for want of prosecution. Member Cadigan seconded and the motion passed 8-0.

On personnel issues, Member Carruthers moved to approve the salary increases and adjustments as recommended by the executive director and reflected on page 156 of the board's packet. Member McGuffage seconded the motion which passed unanimously.

Executive Director, Steve Sandvoss, began his report with preparations for the November 8, General Election. He asked Kyle Thomas, present in the Springfield office, to comment on the voting system pre-election testing. Moultrie County, Livingston County, and McDonough County tested well. He anticipated a three-day test for McHenry County's 212 precincts in Woodstock. Fulton and Fayette Counties are calendared for Tuesday and Wednesday following the board meeting.

Information regarding election judge training schools was submitted and contained on pages 115-117 of the Board's packet.

Election Systems & Software (ES&S) requested a two-year interim approval to add enhancements and updates to their EVA 5.3.1.0 system. Kyle Thomas, Director of Voting Registration Systems indicated that prior testing of this modification was performed by National Technical Systems Huntsville, an Election Assistance Commission accredited Voting Systems Test Laboratory. They found the modifications met the requirements but recommended "jurisdictions perform acceptance tests on all systems prior to implementation within their jurisdiction." All votes were tabulated correctly through both the DS200s and the DS850 and Election Ware successfully tabulated and merged results with no errors. There was an issues with the DS200 tabulator and it was removed from testing. There were no other equipment or system issues. Mr. Thomas recommended the Board grant ES&S a two-year Interim Approval for their EVS 5.3.10 voting system. Member Cadigan moved to adopt the request of the Director of Voting and Registration Systems for certifications outlines in his memo to the executive director dated October 5, 2016. Member Carruthers seconded the motion which passed unanimously.

Member Cadigan proposed staff alert the public as to the integrity of the voting process; to communicate with local election authorities and the public every few days

There was nothing to report on legislative activity as the legislature is not in session.

Kyle Thomas and Kevin Turner reported on Senate Bill 172 and the Database intrusion. Kevin began his report thanking the Chicago and Springfield staff for dedicating many days to contact the individuals who may have been compromised. Approximately 75,000 letters were sent to those individuals even in the most remote sense suspected their information was retrieved. He indicated there was no evidence any IVRS records were added, changed or deleted. The mailing was completed September 30th and of those 75,000 sent, under 8,000 were returned for incorrect address, forwarding, no longer available, etc. The cost was just under \$42,000; postage just over \$35,000, printing envelopes and envelope cost over \$5,000 and paper was about \$1,200. Total cost was \$41,881. The Chairman asked Kevin and Kyle to communicate that the Board is grateful for everybody's extra efforts. Kyle added cancelled records were accessed, because they are deceased or moved. We had to determine the latest address, if at all. Mr. Turner added that they continue to meet with the FBI, Department of Homeland Security as a follow up to the breach and have an excellent relationship with them. Member Cadigan requested copies of the letters be sent to the member of the general assembly and constitutional officer also. The board was assured that the breach was plugged the same day it was found. He added that 250,000 POVA applications have occurred in the past three weeks and it will be shut down automatically midnight of this coming Sunday.

Fiscal status reports as follows were presented for informational purposes:

- 1) FY16 – month ending September 30 2016;
- 2) FY16 – Help Illinois Vote Fund;
- 3) FY17 – month ending September 30; and
- 4) FY17 – Help Illinois Vote Fund.

Other fiscal matters were discussed and were continued to November.

Staff activity for the months of October and November, 2016 were presented for informational purposes.

There was no follow up or old business.

The Chairman indicated the next Board Meeting is scheduled for Monday, November 21, 2016 at 10:30 a.m. in Springfield and in Chicago via video.

There being nothing further before the Board, Member Cadigan moved to adjourn. Member McGuffage seconded the motion which passed by 8 voices in unison. The Board adjourned at 1:16 p.m.

Respectfully submitted,

Darlene Gervase, Admin. Asst. III

Steven S. Sandvoss, Executive Director



Proposed Settlement Offer for Assessed Fines

Committee Name: Friends of Kelvin Oliver ID# 25189

Unpaid Fine Total: \$ 3,375.00 (F)

Settlement Offer: \$ 500.00 (S)

Offer equals 14.81 % of Fine Total
 $(S \div F) \times 100$

☐ A check for the settlement amount is attached. (If the settlement offer is rejected, the check will be returned.)

☐ I waive appearance at any hearing regarding this settlement offer.

Information from Committee's 4 most recent Quarterly Reports:

Ending Balance: \$ <u>130.00</u> (B1)	Total Receipts: \$ <u>0.00</u> (R1)
\$ <u>160.00</u> (B2)	\$ <u>0.00</u> (R2)
\$ <u>175.00</u> (B3)	\$ <u>0.00</u> (R3)
\$ <u>235.00</u> (B4)	\$ <u>0.00</u> (R4)

Avg. Ending Balance: \$ <u>175.00</u> (AB) $(B1 + B2 + B3 + B4) \div 4$	Avg. Total Receipts: \$ <u>0.00</u> (AR) $(R1 + R2 + R3 + R4) \div 4$
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Ratio of fine/balance: <u>1,928.57</u> % $(F \div AB) \times 100$	Ratio of fine/receipts: _____ % $(F \div AR) \times 100$
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Legal considerations, additional information or special circumstances:

The committee closed 2 years ago with no funds left in account after a failed election. As an Illinois Merit-Comp employee I am unable to pay the penalty without a hardship to the family. I humbly request a settlement of \$500.00, unless the board would be merciful and consider the waiver of all fees!

Verification

I declare that this settlement offer has been examined by me and to the best of my knowledge and belief is true, accurate and complete. I understand that failure to complete all parts of this form may result in the offer being summarily rejected. I also understand the Board is under no legal obligation to accept any settlement offer.

Kelvin M. Oliver, Kelvin M. Oliver October 19, 2016
 Signature and Printed Name of Committee's Chairman, Treasurer or Candidate Date

Return to: State Board of Elections
 2329 S. MacArthur Blvd.
 Springfield, IL 62704-4503

or

fax to: 217-782-5959

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
)
Vs.) 14 JQ 138
)
Friends of Kelvin Oliver)
 Respondent(s).)

FINAL ORDER

TO: Friends of Kelvin Oliver ID# 25189
 824 Tenuta Court
 Olympia Fields, IL 60461

This matter coming to be heard this 18th day of March, 2015 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

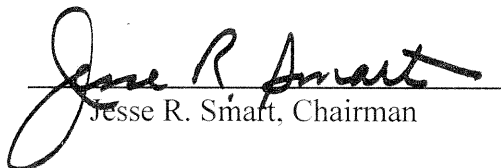
THE BOARD FINDS

1. In case number 14 JQ 138, a \$3375.00 civil penalty was assessed against the Respondent for the delinquent filing of the June 2014 Quarterly report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$1125.00 for the delinquent filing of the December 2013 Quarterly report (14 DQ 178). This assessment was not appealed. The committee was also assessed a penalty of \$1975.00 for the delinquent filing of the June 2013 Quarterly report and a penalty of \$750.00 for the delinquent filing of the September 2013 Quarterly report (13 MA 106). The appeal of this assessment was granted and denied, a settlement offer of \$1925 was accepted by the Board and has been paid by the committee, and
4. The committee filed a Final report on September 17, 2014.

IT IS ORDERED:

1. A civil penalty in the amount of \$3375.00 is imposed and will be abated on March 18, 2017 if the committee remains dissolved until that time without forming a Successor committee pursuant to Rules and Regulations 100.110(b); and
2. The effective date of this Order is March 18, 2015 and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 3/18/2015


Jesse R. Smart, Chairman

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

16 JQ 007

Pike County Republican Central Cmte
Cmte ID: 591

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing the June 2016 Quarterly Report

The Report was filed on July 17th, 2016, one (1) day late. The fine is \$50. This is the Committee's first violation.

John R. Birch, the Chairman of the Committee, filed a Waiver of Appearance and Appeal Affidavit in this matter. On the affidavit, Mr. Birch stated a "catastrophic failure" of his computer during the filing process led to the delinquent filing. The Committee was able to file the Report on July 17th (a Sunday) with assistance from its computer technician.

Section 100.150 c) 5) of the Rules accompanying the Illinois Campaign Finance Act reads, "If a Committee is assessed a civil penalty for delinquently filing a Report electronically and, in the course of its appeal, raises the defense that computer related issues prohibited the timely filing of an electronic Report, the Board may consider that defense when determining the final outcome of the appeal." This is the first time the Committee has utilized this defense. Accordingly, I recommend the appeal be granted. As of September 30th, 2016, the Committee's reported balance was \$9,766.84.



John Levin – Hearing Officer
November 3rd, 2016

State of Illinois)
)
 County of Pike)

STATE BOARD OF ELECTIONS

2016 OCT 18 PM 12:26

BEFORE THE STATE BOARD OF ELECTIONS
 OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 1690007Pike County Central Committee)

Respondent(s).)

Gregg CARNES

APPEAL AFFIDAVIT

I, John R. Birch, the Chairman of the
 (Name) (Chairman/Treasurer)

Pike County Central Committee

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

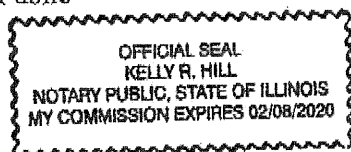
Computer Broke. Down during the day of final report - catastrophic
failure @ report made by my computer Tech Doug White.

Signed and Sworn to by:

Gregg Carnes and John R. Birchbefore me this 18th Day ofOctober, 2016Kelly R. Hill

Notary Public

John R. Birch / Gregg Carnes
 (Signature of Chairman/Treasurer)



Pike County
 Central Committee

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
September 2, 2016

Pike County Republican Central Cmte
Greg Carnes
26740 US Hwy 54
Pittsfield,, IL 62363-2224

ID# 591

7015 3010 0002 2990 8810

Dear Pike County Republican Central Cmte:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contributions and Expenditures
Report Period: April 1, 2016 through June 30, 2016
Filing Period: July 1, 2016 through July 15, 2016

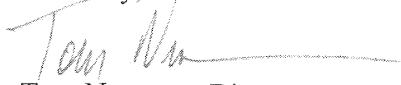
Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 7/17/2016, 1 days late. As such, this committee has been assessed a fine of \$50.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,


Tom Newman, Director
Campaign Disclosure Division

TM: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

16 JQ 016

Citizens for Michael F Sheahan

Cmte ID: 5857

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing the June 2016 Quarterly Report

The Report was filed on July 25th, 2016, 6 days late, resulting in an assessment of \$300. This is the Committee's first violation.

Patrick Sheahan, the Chairman and Treasurer of the Committee, filed a Waiver of Appearance and Appeal Affidavit in this matter. On the affidavit, Mr. Sheahan stated, "A few days before (the end of) the filing period, my wife had severe complications during the delivery of our child. The baby passed away, and my wife was incapacitated for over a month. Upon receiving the (delinquency) notice, I immediately contacted the State Board of Elections and filed the Report."

While there is no statutory basis for relief here, it is understandable that Mr. Sheahan missed the deadline. The Committee has been active since 1989 and, as noted, this is its first delinquent filing. Accordingly, given the circumstances that led to the delinquent filing, I recommend the appeal be granted. As of September 30th, 2016, the Committee's reported balance was \$81,875.13.



John Levin – Hearing Officer
November 2nd, 2016

State of Illinois)

County of Cook)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

2016 SEP 23 AM 11:22

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. I.D. #5857

Citizens for Michael F. Sheahan
Respondent(s).)

110 990116

APPEAL AFFIDAVIT

I, Patrick Sheahan, the Chairman of the
(Name) (Chairman/Treasurer)

Citizens for Michael F. Sheahan
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

A few days before the filing period (6/28/16) my wife had severe complications during the delivery of our child. The baby passed away and my wife was incapacitated for over a month. Upon receiving the late notice I immediately contacted the State Board of Elections and filed the report.

Signed and Sworn to by:

Patrick Sheahan
before me this 21st Day of
September, 2016

M. Jolly
Notary Public

Patrick Sheahan
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

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EXECUTIVE DIRECTOR
Steven S. Sandvoss
September 2, 2016

Citizens for Michael F Sheahan
353 North Clark, Mesiroow Financial
Chicago, IL 60654

ID# 5857

7015 3010 0002 2990 8889

Dear Citizens for Michael F Sheahan:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contributions and Expenditures
Report Period: April 1, 2016 through June 30, 2016
Filing Period: July 1, 2016 through July 15, 2016


Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 7/25/2016, 6 days late. As such, this committee has been assessed a fine of \$300.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,


Tom Newman, Director
Campaign Disclosure Division

TM: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

16 AD 028

Village Party (ID# 12780)

Respondent

REPORT OF HEARING OFFICER

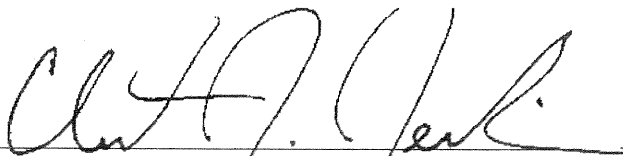
Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report
For the 4th Quarter of 2015

The Committee received a \$4,500 contribution on 11/19/15 and reported it on a Quarterly Report received by the Board on January 9, 2016, 28 days late, resulting in a \$2,250 civil penalty assessment. Additionally, the Committee was previously assessed a \$250 civil penalty (not appealed, paid) for delinquently filing a Schedule A-1 in the 1st quarter of 2013, and a \$125 civil penalty (not appealed, paid) for delinquently filing a Schedule A-1 in the 4th quarter of 2011. The total assessment is \$2,250.

Burt Odelson, Attorney of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on July 27, 2016.

Attorney Burt Odelson appeared on behalf of the Committee. Odelson stated the committee held a fundraiser at Studio 63 in Summitt, IL on November 19, 2015. It was produced by Vouno, Inc as an in-kind contribution. Mr. Odelson submitted for the record an affidavit from the President of Vouno, Nick Goutos stating that Mr. Goutos did not notify the Village Party of the cost of fundraiser until the first week of January 2016. The delay was attributed to the confusion of an event occurring during the holiday season.

Statute provides that an In-Kind contribution is deemed received on the date the committee obtains notification of the in-kind contribution, including its value. The Committee produced sufficient evidence to support its claim. I recommend this appeal be granted. (As of 09/30/16, this Committee reported a funds available balance of \$23,535.80).



Clinton J. Jenkins – Hearing Officer

September 28, 2016

e of Illinois

nty of: Cook

STATE BOARD OF ELECTIONS

2016 JUN -2 AM 10: 26

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

THE MATTER OF;

INOIS STATE BOARD OF ELECTIONS,

Complainant

Village Party
Respondent(s).

Case No. 116A10028
#12780

APPEAL AFFIDAVIT

Burton S. Odelson, the Attorney of the
(Name) (Chairman/Treasurer)

Village Party
(Name of the Committee)

mittee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good
in or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Delay in Reporting by vendor.

Burton S. Odelson
Signature of Chairman/Treasurer Attorney

and Sworn to by:

Burton S. Odelson

me this 1st Day of June, 2016

Public Joyce C. Romanowski



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
May 20, 2016

Village Party
Jeffrey Bishop
7674 W 63rd Street
Summit, IL 60501

ID# 12780

7015 1730 0002 1035 2748

Dear Village Party:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Vouno, Inc	11/19/2015	\$4500	1/9/2016	28	\$2250

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$2250.00 for the delinquent filing. This total *does not* reflect any previously assessed fines.

Under the Board's present policy, since this is the third delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$2250.00, (100% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by June 20, 2016 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", with a long horizontal flourish extending to the right.

Tom Newman, Director
Campaign Disclosure Division

TM: lm

Enclosures: appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

16 AJ 019

Citizens for Alderman Reilly
Cmte ID: 19263
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Failing to File a Schedule A-1 Report

The Committee did not file an A-1 with respect to a contribution of \$1,500 from Richard A. Simon, initially dated June 30th, 2016. The donation was first reported on the June 2016 Quarterly Report, filed July 15th. The receipt was reported five (5) business days late, resulting in an assessment of \$750. This is the Committee's first offense. The assessment is automatically reduced to \$75 (10% of the above-referenced fine amount) as the product of a first A-1 offense.

Brendan Reilly, the Candidate and Chairman of the Committee, filed a Waiver of Appearance and Appeal Affidavit in this matter. On the affidavit, Mr. Reilly stated the receipt in question was originally reported using the date it was made via credit card. In fact, he said the contribution was not deposited into the committee's account until July 22nd, 2016. The Committee included the donation on a Schedule A-1 filed on that same date and on the September 2016 Quarterly Report. The Committee also filed an amended June 2016 Quarterly Report that removed the donation in question, since the deposit date fell outside the range of what had been originally reported.

Under 10 ILCS 5/9-10 (d), a contribution is considered received on the date on which it is deposited in the Committee's account. The Committee properly amended the June 2016 Quarterly Report to reflect the date of deposit for the contribution and reported it in a timely manner on the Schedule A-1 filed July 22nd, 2016. Accordingly, I recommend the appeal be granted. As of September 30th, 2016, the Committee's reported balance was \$962,696.34.



John Levin – Hearing Officer
November 4th, 2016

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS

2016 OCT -3 AM 8:26

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
CITIZENS for ALDORNA REILLY)
Respondent(s).)

Case No. 110A5019

APPEAL AFFIDAVIT

I, BRENDAN REILLY, the CHAIRMAN of the
(Name) (Chairman/Treasurer)

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

ATTACHED LETTER

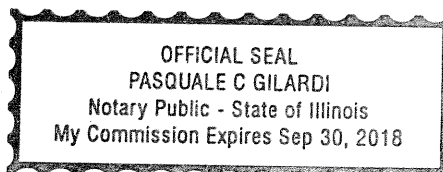
Signed and Sworn to by:

Pasquale Gilardi
before me this 28 Day of

September, 2016

Pasquale Gilardi
Notary Public

B. [Signature]
(Signature of Chairman/Treasurer)



Brendan REILLY

Vice Mayor ★ ★ ★ ★ Chicago

Mr. Tom Newman
Illinois State Board of Elections
2329 S MacArthur Blvd
Springfield, IL 62704

September 28, 2016

Dear Mr. Newman:

We are writing in response to a letter dated September 2, 2016 regarding the failure of Citizens for Alderman Reilly file a timely A-1. Upon review of our records, the contribution in question from Richard A. Simon was erroneously reported in our second quarter D-2 as received on 6/30/2016.

The contribution in question was made via credit card on 6/30/2016, but was not actually deposited to our account until 7/22/2016. Per Section 100.120 of the Illinois Campaign Disclosure Act, the contribution should not have been reported until the funds were deposited into the bank. An A-1 was filed on 7/22/2016 properly dating the contribution, and an amended second quarter D-2 was filed removing the contribution on 9/15/2016.

The contribution will be included in our third quarter report with the correct date of 7/22/2016. Please contact (312) 274-0042 if any further explanation or follow up is needed.

Sincerely,

Citizens for Alderman Reilly

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Carruthers
Andrew K. Cadigan
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
September 2, 2016

Citizens for Alderman Reilly
PO Box 10939
Chicago, IL 60610-0939

ID# 19263

7015 3010 0002 2990 0777

Dear Citizens for Alderman Reilly:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Richard A. Simon	6/30/2016	\$1500	7/15/2016	5	\$750


As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$750.00 for the delinquent filings.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$75.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,


Tom Newman, Director
Campaign Disclosure Division

TM: lm
Enclosures: appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

16 AM 054

Ballot Access Illinois ID# 25933

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$12,221.82 contribution on 3/11/16 and reported it on a Schedule A-1 received by the Board on 4/15/16, 20 days late, resulting in a civil penalty assessment of \$6,611. Additionally, the Committee was previously assessed a \$1,350 civil penalty (not appealed, stayed) for delinquent filing of the March 2015 Quarterly Report. The total assessment is \$7,961.

Doris Galloway, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Galloway states that the \$12,221.82 was not actually a contribution, but the amount of an invoice received from a printing company for an independent expenditure made by the Committee for a mailing in opposition to a candidate for state senate. She says that invoice was not paid out in the first quarter of the year. When the Committee electronically filed the Schedule B-1 required by the independent expenditure, it left their account showing a negative balance and in attempting to correct that problem, instead of being entered as an outstanding debt the invoice amount was also listed as a loan from the printing company. This resulted in the apparent need for the A-1 filing, but Ms. Galloway stresses the \$12,221.82 was never an actual loan, only a debt owed to the printing company.

This Committee found itself in an unusual situation due to the invoice. Had the Committee only entered the invoice amount as an outstanding debt and not reported the independent expenditure until the invoice was paid, no Schedule B-1 would have been filed to disclose the independent expenditure at the time the mailing occurred. In order to file that B-1, the Committee was forced to enter the transaction into the IDIS system in such a way as to prompt an A-1 filing as well, even though no A-1 was actually required. Lack of a clear definition in the statute or rules for date of expenditure further complicated the matter. The Committee is to be commended for choosing the option that best met the goal of disclosure and allowed the Schedule B-1 to be timely reported. For these reasons, I recommend the appeal be granted. If this recommendation is accepted by the Board, the stay will be returned to the previous \$1,350 civil penalty. (As of 9/30/16, this Committee reported a funds available balance of \$1,915.98)



Tom Newman – Hearing Officer
November 3, 2016

State of Illinois)
County of Cook)

16 SEP 30 PM 1:13

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. 16AM054

Ballot Access Illinois

Respondent(s).

APPEAL AFFIDAVIT

I, Doris Galloway, the Chairman of the
(Name) (Chairman/Treasurer)
Ballot Access Illinois
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The Ballot Access Illinois Committee filed a Schedule B-1 Disclosure on March 11, 2016 for the direct mailings it had sent out in opposition to Legislative District 58, State Senate Candidate Paul Schimpf. The amount reflected in said Disclosure reflected the cost of said mailings, which were based on an invoice sent to the Committee from its vendor, Chi-Town Printing, totalling \$12,221.82.

The Committee filed the required Schedule B-1 Disclosure in order to timely disclose the making of certain independent expenditures and communication. However, the Committee did not make payment on the invoices from Chi-Town printing for said mailings in the first quarter of 2016. Thus, when filing the quarterly report, IDIS had the Committee's account balance as a negative number because it automatically subtracted the B-1 amount from the account balance even that amount was not paid out from the Committee's bank account.

The Committee called the State Board of Elections to ask how to remedy this discrepancy, and was told to input the mailer amount (\$12,221.82) into IDIS again as a debt due to Chi-Town Printing from Ballot Access Illinois. There appears to have been minor confusion and, instead of only reporting a Debt, the Committee recorded a Loan as well (for \$12,221.82). The inputting of a "loan" triggered the need for a filing of a Schedule A-1 disclosure (dated 3/11/2016, when the mailer was disclosed). Nevertheless, the Committee never actually received a loan. As has now been discussed with State Board of Elections staff, the amount reflected on the 3/11/2016 Schedule B-1 is **not** a loan, but merely a debt. Hence, no Schedule A-1 was ever required and, thus, no Schedule A-1 could be delinquent.

The Committee will make the necessary changes and file Amended Quarterly Report(s) to reflect the corrected mistake.

Signed and sworn to by:

Doris Galloway
before me this 28th Day of
September, 2016

[Signature]

Notary Public

Doris Galloway

(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Steven S. Sandvoss
September 2, 2016

BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

Ballot Access Illinois
c/o Russ Secler
30 N LaSalle St, suite 3124
Chicago, IL 60602

ID# 25933

7015 3010 0002 2990 1071

Dear Ballot Access Illinois:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Chitown Printing	3/11/2016	\$12221.82	4/15/2016	20	\$6111

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$6111.00 for the delinquent filing. This total **does not** reflect any previously assessed fines.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$611.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016 you forfeit the right to contest this assessment.**

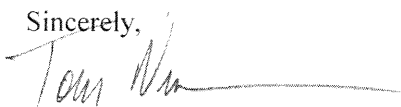
Since this is a subsequent violation, if the above listed violation is not appealed, the previously stayed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
2015 March 8/28/15	Quarterly 15mq 198	\$1350
TOTAL AMOUNT NOW DUE		\$1961

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Tom Newman", with a long horizontal flourish extending to the right.

Tom Newman, Director
Campaign Disclosure Division

TM: lm

Enclosures: appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

16 AQ 028

Friends of George Vargas

ID# 31722

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing a Schedule A-1 Report

The Committee received a \$2,350.62 In Kind contribution on 6/30/16 and reported it on a Schedule A-1 received by the Board on 7/13/16, 3 days late, resulting in a civil penalty assessment of \$1,175.

Jeralyn Goodfellow-Vargas, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

The Committee stated that the incorrect date of receipt was used for the contribution. The event was held on 6/30/16 but the Committee actually received notification of the contribution on July 13, 2016 and has already amended its September 2016 Quarterly Report to correct the date.

I recommend the appeal be granted. The Committee filed an amended Quarterly report on 11/3/16 correcting the date of receipt for the contribution.

(As of 9/30/16, this Committee reported a funds available balance of \$10,392.16.)



Kim Patrick – Hearing Officer

November 4, 2016

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS
2016 OCT -4 PM 2:00

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of George Vargas)
Respondent(s).)

Case No. 16A9028

APPEAL AFFIDAVIT

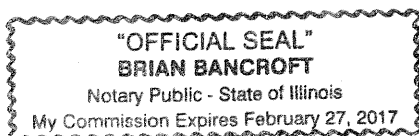
I, Jeralyn Goodfellow Vargas the Treasurer of the
(Name) (Chairman/Treasurer)
Friends of George Vargas
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The donation in question was an in kind
donation of food at a fundraising
event. The arrangements were all made
by the host and the committee had no
knowledge of value of said donation.

Signed and Sworn to by:
Jeralyn Goodfellow Vargas
before me this 3rd Day of
October, 2016
Brian Bancroft
Notary Public

Jeralyn Vargas *Please see attached*
(Signature of Chairman/Treasurer)



State Board of Elections

2329 S MacArthur Blvd

Springfield, IL 62704-4503

In response to delayed A1 filing for In-Kind donations to the campaign: the receipt in question was issued by the Urbana Country Club to John Reed, the host of the fundraiser. The receipts are sent at the end of each calendar month as he is a member of the club and hosted on our behalf. We had no working knowledge of the menu planned or expense level of the event. We had never been a part of a fundraiser before, nor had we attended an event at the Country Club. We had no idea the price range we would be dealing with (ie over the 1,000 dollar threshold). I received the receipt in hand the same day I filed the report. I did not hold the receipt and wait to enter it into the system. There was less than an hour from when I received the paperwork to when I filed with the Board. In hind sight, I should have been more forceful with my host to receive said paperwork in a timely manner. This is all a new experience as we are a brand new committee this year and have not had the opportunity to learn by doing. We are trying to follow the policies and procedures as best we can and are learning each and every day.

Our committee was also chosen for a random audit this year and passed without incident. I personally am responsible for keeping the committee on track and apologize that my inexperience led to this error. I am a full time teacher, mother and wife to a brand new political candidate. I signed on as treasurer to support my husband and am trying the very best I can. I appreciate the Board's willingness to consider our situation and know we will do much better in the future.

Most Sincerely,

A handwritten signature in cursive script, appearing to read 'J Goodfellow-Vargas', followed by a horizontal line.

Jeralyn Goodfellow-Vargas

Treasurer, Friends of George Vargas

3812 Crail Road

Champaign, IL 61822

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Carruthers
Andrew K. Cadigan
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
September 2, 2016

Friends of George Vargas
George Vargas/Jeralyn Goodfellow-Vargas
3812 Crail Road
Champaign, IL 61822

ID# 31722

7015 3010 0002 2990 0838

Dear Friends of George Vargas:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
John Reed	6/30/2016	\$2350.62	7/13/2016	3	\$1175

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1175.00 for the delinquent filings.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$118.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director
Campaign Disclosure Division

TM: lm

Enclosures: appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

16 JQ 147

Maria Bedolla LaCour for the Citizens of Waukegan
Respondent ID# 32066

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquently Filing
the June 2016 Quarterly Report

The Report was received by the Board on July 20, 2016, 3 days late, resulting in a civil penalty assessment of \$150.

Porfirio Garcia, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, the Candidate, Maria Bedolla LeCour states that the Committee filed the Quarterly report late due to login/IDIS software issues. She contacted the Board to resolve these software issues on multiple occasions. As a result the Committee's accountant was unable to log in and access the software in order to timely file the report.

In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously utilized such a defense, I recommend the appeal be granted.

(As of 9/30/16, this Committee reported a funds available balance of \$4,733.00.)



Kim Patrick – Hearing Officer

November 3, 2016

1690147

STATE BOARD OF ELECTIONS

To: Illinois State Board of Election
From: Maria Bedolla LaCour for the Citizens of Waukegan
Date: September 19, 2016
Re: Appeal affidavit

2016 SEP 22 AM 10:34

Situation

The *June Quarterly Report* was filed three days late due to login / IDIS software issues. Maria and committee contacted State Board of Elections to resolve software issues. As a result, the committee's accountant was unable to update and submit the financials in a timely manner. With that being said, we ask the Illinois State Board of Election to research into phone records to prove that these conversation about login / IDIS software issues accrued, which disabled the committee / accountant to access software. This is the bases behind our request for an appeal.

Thank you for your consideration.

Maria Bedolla LaCour

Migdalena Reyes



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
September 2, 2016

Maria Bedolla LaCour for the Citizens of Waukegan ID# 32066
2833 Apple Ave
Waukegan, IL 60085

7015 3010 0002 2990 9626

Dear Maria Bedolla LaCour for the Citizens of Waukegan:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contributions and Expenditures
Report Period: April 1, 2016 through June 30, 2016
Filing Period: July 1, 2016 through July 15, 2016

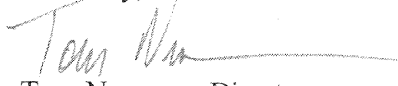
Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 7/20/2016, 3 days late. As such, this committee has been assessed a fine of \$150.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,


Tom Newman, Director
Campaign Disclosure Division

TM: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

16 AJ 008


Williamson County Republican Central Comm
Cmte ID: 584
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing a Schedule A-1 Report

The A-1 was filed on June 22nd, 2016, with respect to a donation of \$1,400 from M & S Contractors, Inc., dated June 11th, 2016. The A-1 was three (3) business days late. The fine is \$700 and is automatically reduced to \$350 (50% of the above-referenced fine amount) as the product of a second A-1 offense. The Committee was previously assessed \$53 (not appealed, stayed) for delinquently filing a Schedule A-1 during the third quarter of 2015. The total assessment is \$403.

Karrie Harris, the Treasurer of the Committee, filed a Waiver of Appearance and Appeal Affidavit in this matter. On the affidavit, Ms. Harris stated she is new to the Treasurer's position (having been appointed to the post in April, 2016) and was unaware of the A-1 filing requirement for receipts of \$1,000 or more. She stated she filed the document immediately upon learning of the requirement and asked that the fine be waived.

I recommend the appeal be denied for lack of an adequate defense. I appreciate Ms. Harris' candor in this matter, but there is no statutory basis for relief. If the Board accepts this recommendation, the stay on the \$53 assessment for the late third quarter 2015 Schedule A-1 would be lifted, and a total of \$403 would be due and owing. As of September 30th, 2016, the Committee's reported balance was \$13,237.03.



John Levin – Hearing Officer
November 1st, 2016

State of Illinois)
County of Williamson)

STATE BOARD OF ELECTIONS

2016 SEP 26 PM 3:15

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Williamson County Republican Central Committee)
Respondent(s).)

Case No. 16A9008
#384

APPEAL AFFIDAVIT

I, Karrie Harris, the Treasurer of the
(Name) (Chairman/Treasurer)
Williamson County Republican Central Committee
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

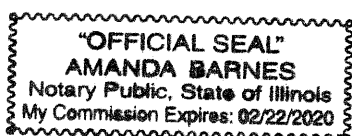
I am writing to appeal the State imposed fine. At the time of the contribution, I was new to being the Treasurer for the committee and was not aware of having to file a Schedule A-1. I filed the A-1 immediately once I was made aware of it.

I have since had to file another A-1 and it was done in a timely manner. I was informed the fine from Sept. 2015 was due to a vacancy in the Treasurer's position at that time. This committee has recently had another change in Chairman. I am requesting to have this fine waved due to the transition of changes this committee has recently had.

Signed and Sworn to by:

Karrie Harris
before me this 23 Day of
September, 2016
Amanda Barnes
Notary Public

Karrie Harris
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
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Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

September 2, 2016

Williamson County Republican Central Committee ID# 584
PO Box 1686
Marion, IL 62959

7015 3010 0002 2990 0647

Dear Williamson County Republican Central Committee:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
M & S Contractors, Inc	6/11/2016	\$1400	6/22/2016	3	\$700

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$700.00 for the delinquent filing. This total ***does not*** reflect any previously assessed fines.

Under the Board's present policy, since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$350.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016 you forfeit the right to contest this assessment.**

Since this is a subsequent violation, if the above listed violation is not appealed, the previously stayed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
2015 September	A1	\$53
<i>TOTAL AMOUNT NOW DUE</i>		\$403

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", with a long horizontal flourish extending to the right.

Tom Newman, Director
Campaign Disclosure Division

TN: lm

Enclosures: appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

16 AJ 022

Citizens for Theilen

ID# 20255

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing a Schedule A-1 Report

The Committee received a \$1,000 contribution on 4/23/16, and reported it on a Schedule A-1 received by the Board on 7/11/16, 49 days late, resulting in a civil penalty assessment of \$500.

Kris Theilen, the Candidate of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Theilen states he entered the donation under receipts. He adds he thought the Schedule A-1 was submitted and had no idea it had not gone through.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional and since this is the first A-1 violation for this Committee, I further recommend the penalty be reduced to 10% of the original assessment or \$50. As a first violation, the penalty is stayed.

(As of 9/30/16, this Committee reported a funds available balance of \$1,347.00.)



Kim Patrick – Hearing Officer
November 3, 2016

State of Illinois)
County of: Sangamon)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

2016 OCT -6 PM 1:35

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens for Therlen)
Respondent(s).)

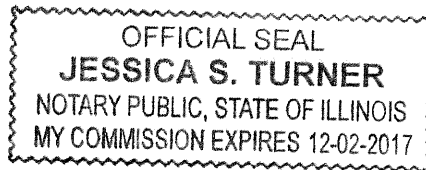
Case No. 16A9022

APPEAL AFFIDAVIT

I, Kels THELLEN, the Chairman of the
(Name) (Chairman/Treasurer)
Citizens for Therlen
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I entered the donation in the receipts, and then
I went to the schedule 1-A, and per the
computer screen, I thought it had submitted the
Schedule 1-A. I had no idea that it had not gone
through.



[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

[Signature]

before me this 4th Day of October, 2016

Notary Public
(seal)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Carruthers
Andrew K. Cadigan
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
September 2, 2016

Citizens for Theilen
1124 Larchmont Dr
Springfield, IL 62704-2108

ID# 20255

7015 3010 0002 2990 0791

Dear Citizens for Theilen:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Laborer's Local #477	4/23/2016	\$1000	7/11/2016	49	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500.00 for the delinquent filings.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director
Campaign Disclosure Division

TM: lm
Enclosures: appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

16 D1-003

Citizens for Powers (ID# 31887)

Respondent

REPORT OF HEARING OFFICER

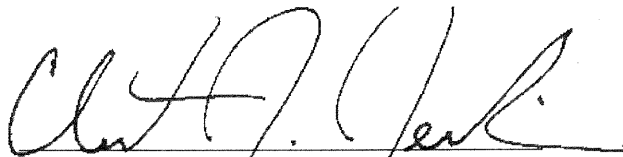
Appeal of Civil Penalty Assessment for Delinquently Filing
A D-1 Statement of Organization

The Committee's creation date was 11/18/15, but the D-1 Statement of Organization was received by the Board on December 23, 2015, 13 day late resulting in a \$650 civil penalty. In addition the committee was previously assessed a \$300 civil penalty (not appealed, stayed) for delinquently filing the 2015 December Quarterly Report. The total assessment is \$950.

Steven Marshall, Chairman and Treasurer of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on July 27, 2016.

At the hearing, Mr. Marshall stated the committee was unaware of the filing requirements. The candidate, Mr. Powers, hired a campaign manager using only personal funds. The candidate's understanding was since no other political activity was done by the committee at that point, no reporting was required. The Campaign Manager received multiple payments totaling \$18,000, with the initial payment being \$5,000. The Campaign Manager was hired to provide guidance in a matter such as this but failed to complete his responsibilities. Mr. Marshall stated that he is aware that ignorance of the law is not an excuse but asked for some leniency by the Board.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay will be lifted from the previous assessment and a \$950 civil penalty will be due and owing. (As of 9/30/16, this Committee reported a funds available balance of \$15,430.86).



Clinton J. Jenkins – Hearing Officer

October 3, 2016

of Illinois)
)
ty of: COOK)

CHICAGO

16 JUN -7 PM 1:47

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

STATE BOARD OF ELECTIONS

THE MATTER OF;)
)
NOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
)
)
)
CITIZENS FOR POWERS)
Respondent(s).)

Case No. 16D1-003

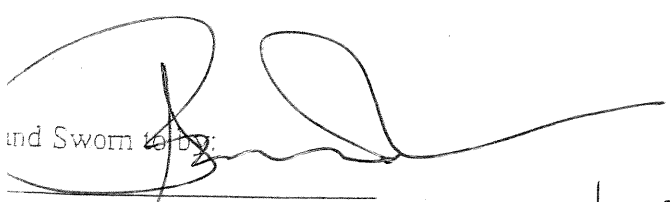
APPEAL AFFIDAVIT


STEVEN MARSHALL, the CHAIRMAN + TREASURER of the
(Name) (Chairman/Treasurer)

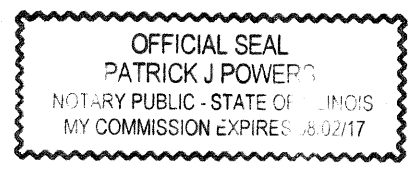
CITIZENS FOR POWERS
(Name of the Committee)

nttee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good
or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

WE WERE UNAWARE OF THE FILING REQUIREMENTS

and Sworn to by: 
e this 31 Day of MAY, 2014
ublic


Signature of Chairman/Treasurer
(708) 205-8202
STEVEN @ THE POWERSPIRM.COM



STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
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Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

May 20, 2016

ID# 31887

Citizens for Powers
Steven Marshall
100 N LaSalle St, Ste 1500
Chicago, IL 60602

7015 3010 0002 2991 1001

Dear Citizens for Powers:

This letter is to inform you that this committee failed to file its D-1 Statement of Organization during the requisite filing period.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-3 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 12/23/2015, 2012, 13 day(s) late. As such, this committee has been assessed a fine of \$650.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by June 20, 2016 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
December 2015	Quarterly	\$300
TOTAL AMOUNT NOW DUE		\$950

The total for all new assessments is \$950.00.

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S. MacArthur Blvd.
Springfield, Illinois 62704-4503
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph St., Ste. 14-100
Chicago, Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Steven S. Sandvoss

BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

Kane County Conservative Coalition (#16931)
Jon Zahm, Chairman
PO Box 4
Batavia, IL 60510

October 20, 2016

Re: Final Board Order (14CD109)

Dear Mr. Zahm:

As you are aware, this committee was ordered by the State Board of Elections to amend its March 2014 Quarterly Report as a result of complaint 14 CD 109 (order enclosed). The 30 day deadline for the amended filing was held in abeyance while your petition for judicial review was considered by the appellate court. The court returned its Mandate on 9/7/16, affirming the Board's original decision. Therefore, although the 30-day period from that date has passed, your committee is being given an additional 14 days to file the required amendment. If this filing is not received by the Board by November 3, 2016, the committee will be considered to be in violation of the Final Board Order and subject to a civil penalty not to exceed \$5,000.

If you have any questions or if I can be of any assistance please contact me at (217) 782-1558. Thank you for your prompt attention to this matter.

Sincerely,

Tom Newman, Director
Campaign Disclosure Division

STATE OF ILLINOIS)
)
COUNTY OF COOK) SS

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
Corey Johnson,)
 Complainant(s),)
 vs.) 14 CD 109
)
Kane County Conservative Coalition,)
 Respondent(s).)

FINAL ORDER

TO: Corey Johnson Kane County Conservative Coalition
 2418 West Indian Trail #C PO Box 4
 Aurora, IL 60506 Batavia, IL 60510

This matter coming to be heard this 18th day of February, 2015, following Public Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-9, 5/9-11(a)(4) and 5/9-11(a)(12) in that the Respondent committee failed to include the required disclaimer on political literature and advertisements, failed to properly disclose the name of contributors over \$150.00 associated with the political literature and advertisements, and failed to disclose the name of any recipient of an expenditure over \$150.00 associated with the political literature and advertisements; and the State Board of Elections having read the report of the Hearing Officer and hearing the recommendation of the General Counsel and now being fully advised in the premises,

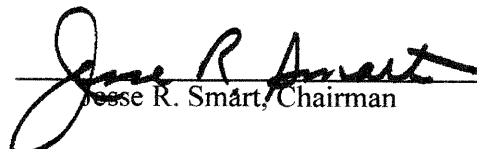
THE BOARD FINDS:

1. The evidence presented by Complainant established that Jerry Bannister, Dan Koukol and Jon Zahm collaboratively created, distributed and/or paid for a "negative mailer," which did not have proper attribution as required under Section 9-9 of the Election Code and was not motivated by Jerry Bannister, Dan Koukol and Jon Zahm's first amendment rights; and
2. The Respondent committee did not report the contribution and expenditure related to the mailer at issue as required under Section 9-11(a) of the Election Code.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. The Respondent committee file an amended March 2014 Quarterly report to reflect the contribution and payment for the mailer at issue within 30 days of the effective date of this Order, and
3. The amended reports be referred to staff for review and assessment of any appropriate civil penalties, and
4. The effective date of this Order is February 20, 2015, and
5. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 2/20/2015


Jesse R. Smart, Chairman

STATE BOARD OF ELECTIONS

2329 S. MacArthur Blvd

Springfield, Illinois 62708

217/782-4141

Tom Newman

Director, Campaign Disclosure Division

To: Steven S. Sandvoss, Executive Director, Members of the Board

Re: Payment of Civil Penalty Assessments - Informational

Date: November 9, 2016

The following committees have made payment of outstanding civil penalties for the period 10/6/2016 - 11/9/2016

- Friends of Miriam Shabo - \$150.00 (\$3.53 credit card fee)
- Friends of Miriam Shabo - \$150.00 (\$3.53 credit card fee)
- Citizens for Donald Kramer - \$200.00 (\$4.70 credit card fee)
- Chicago Young Republicans - \$800.00 (\$18.80 credit card fee)
- Friends of Deborah Morelli - \$1600.00 (\$37.60 credit card fee)
- Mike Kozel Campaign Fund - \$150.00
- Friends of Josina Morita - \$500.00
- Lake County Life PAC - \$300.00
- 7th Ward Regular Democratic Org - \$100.00
- Ill. Petroleum Marketers Assoc. - \$5.00
- Citizens to Elect Dorothy D. Armstrong - \$375.00
- Committee to Elect Karen Elyea - \$262.50
- Committee to Elect Carol Clark - \$450.00 (\$10.58 credit card fee)
- Scheflow for Kane 22 - \$375.00
- Bradley A. Stephens Committeeman Fund - \$1670.50
- Illinois Restaurateurs PAC - \$3580.00
- Citizens to Elect Mary T McCann - \$1425.00
- Citizens for Tony Giles - \$275.00

Monthly Total:

1/1/16 to 2/4/16: \$42,670.82

2/5/16 to 3/2/16: \$8,122.91

3/3/16 to 4/5/16: \$36,337.00

4/6/16 to 5/4/16: \$10,251.00

5/5/16 to 6/1/16: \$26,798.00

6/1/16 to 6/27/16: \$26,420.08

6/28/16 to 8/15/16: \$43,135.00

8/16/16 to 9/7/16: \$14,598.00

9/8/16 to 10/5/16: \$30,822.50

10/6/16 to 11/9/16: \$12,368.00

Year to Date Total: \$251,523.31

Illinois State Board of Elections

Brent M. Davis | Director of Election Operations | 217.558.1664 | bdavis@elections.il.gov



To: Steve Sandvoss, Executive Director

Re: General Election Staff Assignments, November 8, 2016

Date: November 4, 2016

Springfield Office
5:30 a.m. – Midnight

Front Desk

Erica Christell 6:00 a.m. – 1:30 p.m.

Tony Langan 1:30 p.m. – 7:00 p.m.

General Counsel:

Heather Kimmons 9:00 a.m. – 9:00 p.m.

Any Media Requests:

Jim Tenuto 6:00 a.m. – 5:00 p.m.

All three queues:

Staff	Hours Working	Break Times
Amy Evans	5:30 a.m. – 5:30 p.m.	11:30 a.m. – 12:30 p.m.
Scott Mills	5:30 a.m. – 5:30 p.m.	10:30 a.m. – 11:30 a.m.
Amy Kelly	6:00 a.m. – 6:00 p.m.	1:30 p.m. – 2:30 p.m.
Brian Pryor	6:00 a.m. – 6:00 p.m.	12:30 p.m. – 1:30 p.m.
Jamye Sims	9:00 a.m. – 9:00 p.m.	2:30 p.m. – 3:30 p.m.
Gary Nerone	11:00 a.m. – 11:00 p.m.	3:30 p.m. – 4:30 p.m.
Michael Montney	10:00 a.m. – 10:00 p.m.	4:30 p.m. – 5:30 p.m.
Bruce Brown	Noon – Midnight	5:30 p.m. – 6:30 p.m.

Queues two and three:

Staff	Hours Working	Break Times
Kyle Thomas	6:00 a.m. – 6:00 p.m.	11:00 a.m. – Noon
Cheryl Hobson	6:30 a.m. – 6:30 p.m.	12:30 p.m. – 1:30 p.m.
Brent Davis	Noon – Midnight	5:00 p.m. – 6:00 p.m.

Springfield Office Continued...

Polling Place look-up:

Staff	Hours Working	Break Times
Mike Heap	5:30 a.m. – 5:30 p.m.	10:30 a.m. – 11:30 a.m.
John Oldfield	6:00 a.m. – 4:00 p.m.	11:00 a.m. – Noon
Vera Bolden	6:00 a.m. – 2:30 p.m.	10:00 a.m. – 11:00 a.m.
Sherry Young	6:00 a.m. – 6:00 p.m.	11:30 a.m. – 12:30 p.m.
Brie Urbanec	6:30 a.m. – 6:30 p.m.	11:30 a.m. – 12:00 p.m.
Kim Patrick	6:30 a.m. – 4:30 p.m.	11:00 a.m. – Noon
Sean Begalka	7:00 a.m. – 5:00 p.m.	1:00 p.m. – 2:00 p.m.
Linda Wentz	7:00 a.m. – 7:00 p.m.	2:00 p.m. – 3:00 p.m.
Dustin Schultz	7:00 a.m. – 7:00 p.m.	2:30 p.m. – 3:30 p.m.
Becky Glazier	7:00 a.m. – 7:00 p.m.	2:00 p.m. – 3:00 p.m.
Katie Miller	8:00 a.m. – 4:30 p.m.	1:00 p.m. – 2:00 p.m.
Joelle Egger	8:00 a.m. – 4:30 p.m.	12:30 p.m. – 1:30 p.m.
Jeremy Kirk	8:00 a.m. – 4:30 p.m.	11:30 p.m. – 12:30 p.m.
Amy Calvin	8:00 a.m. – 8:00 p.m.	2:00 p.m. – 3:00 p.m.
Cris Cray	8:00 a.m. – 8:00 p.m.	1:30 p.m. – 2:30 p.m.
Mickey Reinders	8:15 a.m. – 4:45 p.m.	1:30 p.m. – 2:30 p.m.
Clayton Nicholson	9:00 a.m. – 7:00 p.m.	2:00 p.m. – 3:00 p.m.

Chicago Office 6:00 a.m. – 11:00 p.m.

General Counsel:

Bernadette Harrington 7:00 a.m. – 6:00 p.m.
Ken Menzel Noon – 11:00 p.m.

Any Media Requests:

Jim Tenuto 6:00 a.m. – 5:00 p.m.

All three queues:

Staff	Hours Working	Break Times
Rose Rodriguez	6:00 a.m. – 6:00 p.m.	12:30 p.m. – 1:30 p.m.
Maggie Jasinski	8:00 a.m. – 7:00 p.m.	1:30 p.m. – 2:30 p.m.
Darcell McAllister	10:00 a.m. – 10:00 p.m.	3:00 p.m. – 4:00 p.m.

STATE BOARD OF ELECTIONS



From the desk of.... Kyle Thomas
Director of Voting and Registration Systems
Phone: 217-782-1590
Email: kthomas@elections.il.gov

To: Steven Sandvoss; Executive Director

Re: 2016 General Election Pre-Testing Report

Date: November 7, 2016

The VRS Division conducted pre-election tabulation system tests in seven jurisdictions for the 2016 General Election. These included;

- Peoria County- September 13th-14th
- Moultrie County- October 13th
- Livingston County- October 14th
- McDonough County- October 18th
- McHenry County- October 19th-21st
- Fulton County- October 25th
- Fayette County- October 26th

The Peoria pre-test was conducted by six staff members, one of which was a volunteer from the IT Division. They utilize the Hart InterCivic vote tabulation system. 2,836 ballots were cast across 169 precincts in Vote-by-Mail mode using optical scan ballots, in Election Day mode using their Direct Recording Electronic (DRE) tabulators, and Early Voting mode also on the DRE machines. After the first round of ballot casting was complete, it was discovered that the Election Authority (Peoria performs their own programming/election setup) had created the Early Voting card in a different mode than the Vote-by-Mail and Election Day cards. This made it impossible to merge the Early results with the remainder, requiring the Election Authority to create a new Early card and for us to recast the 362 Early ballots. After the rerun, all results were found to have been tabulated correctly, with only one human casting error. We were also able to run a sample test of Election Day mode in individual precinct arrangement, vs. the vote center arrangement used for the majority of our test. These results tabulated and merged as expected. The bigger issue we discovered in Peoria was that the Election Authority had created the entire setup for our pre-test in a test database, instead of his 2016 General Election database. His reasoning was that his program was not yet finalized at the early date which we were testing. He was reminded that he had requested the early test date and it was set to accommodate his schedule. Even though no tabulation errors were encountered, we look to retest Peoria in the near future.

The second test conducted was in Moultrie County by three staff members of the VRS Division, which was the first of our pre-tests of Illinois' latest tabulation vendor, Unisyn. The Unisyn system in Moultrie is supported by Liberty and their representative, Ken Gibson, was present for this test. Ballots cast totaled 1,105 across 16 precincts using both pre-marked digital scan ballots and ballots created on their touch screen ballot marking device, the OVI which were then tabulated with the digital scan tabulator, the OVO. 544 of the ballots were cast in Election Day mode and 561 in Early Vote mode. All results were tabulated and merged correctly.

Next up was Livingston County which uses the GEMS voting system with AccuVote optical scan tabulators and TSX DRE's, supported by Liberty. Ken Gibson of Liberty was present for this

pre-test as well. 2,898 ballots were cast by three staff members across all 46 precincts. Ballots were cast on both tabulators in Election Day mode and Early Voting mode. All tabulation and merging of results was accurate. Two AccuVote program cards did fail to upload and ballots for those two precincts had to be rerun. There was also one AccuVote tabulator that failed to power up twice so that piece of equipment was pulled and service was recommended. No other issues were encountered.

The following week, three staff members traveled to McDonough County to conduct a pre-test of their GEMS voting system. They were joined by Doug Simpkins of GBS, McDonough's vendor. 1,347 ballots were cast through their AccuVote optical scan tabulators and TSX DRE's across all 31 precincts in Election Day, Vote-by-Mail, and Early Voting modes. All tabulation and merging of results was found to be accurate. Staff did notify the vendor and election authority that the Constitutional "kick-back" function was not turned on. No other issues were encountered.

The three staff members who conducted the McDonough test, upon completion, traveled to McHenry County where they met up with three more staff members. The following day, the McHenry County test of their GEMS tabulation system began. SBE staff were joined by GBS and three of their representatives, led by Larry Calvert. Over the three day period, 17,893 ballots were cast in Election Day and Early Voting mode through the TSX DRE's and via Election Day and Vote-by-Mail modes with the AccuVote tabulators across all 212 precincts. The Vote-by-Mail AccuVotes were run in both vote center and central count configurations. McHenry County uses an electronic poll-book which through VC Programmer can create voter cards for the TSX machines. We utilized VC Programmer for the entirety of this test and were shown a demonstration of their electronic poll-book as well. McHenry was notified of the lack of and requirement for a "kick-back" for ballots with an under vote of the Constitutional office. The version of AccuVote utilized in McHenry does not allow for that function. Upon requesting samples of their President Only ballot style, staff was informed that the Election Authority had opted not to have these created, as it was believed they weren't needed. After realizing that at least one President Only ballot would be cast, the Election Authority requested the vendor to create this style. However, adding the style would have changed the election program and required all new ballots to be printed and all systems to be resynced. It was decided that they would have the President Only voters vote on a ballot that would then have to be remade onto a Federal Only ballot style in order for their votes to be tabulated. Only five human errors in ballot marking/creating were discovered throughout the test. No other issues arose and no tabulation errors or merger errors were encountered.

The following week, five staff members conducted the test of the GEMS voting system in Fulton County. Their vendor, GBS, was represented by Gary Ingelson. In all, 2,622 ballots were cast across each of their 57 precincts in Election Day, Early Voting, and Vote-by-Mail modes. During testing, it was discovered that some of the vendor supplied test deck contained ink smears which would have negatively affected the tabulation outcome. These ballots were replaced by the Election Authority via their ballot-on-demand printer. The first two ballots provided would not feed through the AccuVote tabulator. After adjusting the settings, the ballot-on-demand was able to produce a readable ballot. Our concerns of the Election Authority having produced actual Vote-by-Mail ballots with this printer were put at ease when we found out it had not yet been utilized this cycle. Even though Fulton County utilizes a version of the AccuVote which can notify the voters of an under vote on a Constitutional office, this function was not enabled for this election. The clerk was informed of the requirement and took it under advisement. No tabulation or merger errors were encountered.

The final pre-test for this cycle was held in Fayette County. Their GEMS voting system is supported by their vendor, Platinum, who was represented by Jay Bennett. Across all 31 precincts, staff cast 995 ballots in Election Day and Early Voting mode. This included optical scan ballots cast on the AccuVote tabulator and DRE ballots created on the TSX. The results tabulated and merged correctly. No issues were encountered.

Election Judge Training

2016 GE

Date	Jurisdiction and Zone	Schools	Presenter(s)	Attendance
7/25/2016	STEPHENSON (4)	2	Kelly	114
7/26/2016	STEPHENSON (4)	1	Kelly	39
8/22/2016	CLAY (1)	2	Sims	121
8/30/2016	WOODFORD (3)	2	Kelly	277
9/7/2016	McLEAN (3)	3	Sims	77
9/8/2016	McLEAN (3)	2	Sims	27
9/14/2016	JoDAVIESS (4)	2	Davis	106
9/15/2016	SALINE (1)	2	Sims	96
9/15/2016	COLES (3)	3	Kelly/Pryor	184
9/19/2016	KANKAKEE (3)	2	Kelly/Evans	147
9/19/2016	ST. CLAIR (1)	3	Davis/Mills/Pryor	189
9/20/2016	KANKAKEE (3)	1	Kelly/Evans	43
9/20/2016	ST. CLAIR (1)	3	Davis/Mills	211
9/20/2016	JACKSON (1)	2	Sims	95
9/21/2016	ST. CLAIR (1)	3	Davis/Pryor	166
9/21/2016	JACKSON (1)	1	Sims	50
9/21/2016	CALHOUN (2)	2	Kelly/Mills	41
9/22/2016	ST. CLAIR (1)	3	Davis/Pryor	117
9/26/2016	JASPER (1)	2	Sims	48
9/27/2016	WABASH (1)	2	Sims	85
9/27/2016	MONTGOMERY (2)	4	Kelly	128
9/28/2016	RICHLAND (1)	2	Sims	90
9/29/2016	LAWRENCE (1)	2	Sims	105
9/29/2016	BUREAU (4)	2	Pryor/Evans	140
10/3/2016	HARDIN (1)	1	Sims	30
10/3/2016	POPE (1)	1	Sims	31
10/3/2016	CITY OF GALESBURG (2)	3	Mills/Pryor	131

Date	Jurisdiction and Zone	Schools	Presenter(s)	Attendance
10/4/2016	FRANKLIN (1)	3	Sims	150
10/4/2016	LOGAN (3)	1	Kelly	43
10/4/2016	HENDERSON (2)	2	Mills	61
10/5/2016	CHRISTIAN (3)	2	Sims	35
10/5/2016	LOGAN (3)	1	Kelly	52
10/5/2016	WHITESIDE (4)	3	Mills/Pryor/Davis	324
10/6/2016	CHRISTIAN (3)	2	Sims	139
10/6/2016	LOGAN (3)	1	Kelly	45
10/10/2016	JEFFERSON (1)	3	Sims	150
10/11/2016	GALLATIN (1)	2	Sims	43
10/12/2016	BROWN (2)	2	Kelly/Mills	62
10/12/2016	UNION (1)	2	Sims	69
10/13/2016	EDWARDS (1)	2	Sims	46
10/14/2016	MARSHALL (3)	2	Davis	48
10/17/2016	FAYETTE (1)	2	Sims	139
10/17/2016	MENARD (2)	2	Kelly	57
10/18/2016	PIATT (3)	2	Davis	115
10/18/2016	CUMBERLAND (3)	2	Sims	75
10/18/2016	JERSEY (2)	2	Mills	75
10/19/2016	CLINTON (1)	2	Sims	139
10/20/2016	WASHINGTON (1)	2	Sims	91
10/20/2016	SHELBY (3)	3	Davis/Pryor	161
10/20/2016	CASS (2)	2	Kelly	82
10/24/2016	STARK (4)	1	Pryor/Mills	17
10/25/2016	HAMILTON (1)	2	Sims	83
10/25/2016	GREENE (2)	2	Kelly	105
10/26/2016	PUTNAM (4)	2	Mills	37
10/26/2016	MORGAN (2)	3	Kelly/Pryor	133
10/27/2016	PIKE (2)	2	Kelly	94
10/27/2016	MORGAN (2)	1	Pryor	34

Date	Jurisdiction and Zone	Schools	Presenter(s)	Attendance
10/27/2016	SCOTT (2)	2	Pryor	26
10/27/2016	IROQUOIS (3)	3	Rodriguez	125
10/27/2016	EFFINGHAM (1)	3	Sims	196
10/28/2016	CITY OF EAST ST. LOUIS (1)	2	Pryor	52
10/28/2016	IROQUOIS (3)	1	Rodriguez	40
11/1/2016	WILLIAMSON (1)	3	Sims	308
11/2/2016	KANKAKEE (3)	1	Kelly	76



From the desk of.... Steven S. Sandvoss, Executive Director
Phone: 217-557-9939
Email: ssandvoss@elections.il.gov

To: Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
Members of the Board

Re: Inter-Agency Agreement, SERS/GARS

Date: November 9, 2016

At the August meeting, the Board discussed concerns it had involving a request from the State Employees Retirement System (SERS) for assistance in the conduct of their semi-annual Trustee election. As a result of said discussion, the Board suggested that in the event a future request was made, that the SBE enter into an inter-agency agreement that spells out the Board's functions and that would also include hold harmless or indemnification language that would protect the SBE from potential liability in the event a dispute arose that involved the SBE's conduct of said election. On the following page is a draft of such agreement for the Board's consideration.

AGREEMENT BETWEEN
ILLINOIS STATE BOARD OF ELECTIONS
AND GENERAL ASSEMBLY RETIREMENT SYSTEM

This Agreement is made and entered into by and between the Illinois State Board of Elections ("SBE") and the General Assembly Retirement System ("GARS"). The parties hereby agree to all terms and conditions listed in this agreement.

I. Introduction

- A. **Parties.** The Illinois State Board of Elections (SBE), maintains its principal office at 2329 S. MacArthur Street, Springfield, IL 62704. The General Assembly Retirement System (GARS) maintains its principal office at 2101 Veterans Parkway, Springfield, IL 62704. The GARS seeks to enter into an agreement with the SBE for the SBE's assistance in providing services in connection with the anticipated January 2017 annuitant trustee election, as set out in further detail in Section II herein.
- B. **Designated Agents.** The SBE and the GARS each agree to each designate an agent to supervise the implementation of this agreement and to avail themselves as a contact person for any issues which may arise under this agreement. The SBE hereby appoints Steven Sandvoss, Executive Director, or his duly-designated successor, as its designated agent, and the GARS hereby appoints Timothy Blair, Executive Secretary, or his/her duly-designated successor, as its designated agent.

II. Duties of the SBE

The SBE shall canvass all ballots forwarded by the GARS and certify the results to the GARS by no later than noon on February 3, 2017.

III. Duties of the GARS

The GARS shall receive and secure all ballots returned by close of business on January 31, 2017, and forward unopened to the SBE by no later than noon on February 1, 2017.

IV. Governing Law and Jurisdiction

This agreement shall be interpreted in accordance with the laws of the State of Illinois. If any provision of this agreement is in conflict with any statute, law, rule, or regulation of any governmental entity, then the conflicting provision shall be deemed null and void only to the extent of the conflict and without invalidating the remaining provisions of the contract.

V. Term and Termination

The effective date of this agreement shall be the last date set out at the end hereof, and the agreement shall continue in effect until February 6, 2017, the last day to notify candidates of the election results.

VI. Notice

Any and all notices required or permitted to be given under this agreement shall be in writing and shall be deemed sufficiently made, if given by certified or registered mail, postage prepaid, addressed to the authorized party designated in Section 1(B) at the address indicated in Section 1(A). Parties are not precluded from issuing written notice through other lawful means if both designated agents commonly communicate with each other through such means, and if such communication is designated to the proper designated agent.

VII. Hold Harmless

GARS and its administrators, agents and employees hereby release, hold harmless, discharge, and otherwise agree to indemnify SBE, its administrators, employees, agents, Board members, and volunteers from and for any and all claims, demands, liability, lawsuits, contests, attorney's fees, expenses, costs, causes of action, judgments, or awards of any kind or character that may accrue, arise, or otherwise exist from the performance of services under this Agreement, whether brought by an individual or other entity, or imposed by a court of law or by administrative action.

VII. General

- A. **Integrated Agreement.** The SBE and the GARS understand and agree that this agreement constitutes the entire agreement between them and that no promises, terms, or conditions not recited or incorporated within this agreement, including prior written or oral discussions not referenced in this agreement, shall be binding upon either the SBE or the GARS.
- B. **Assignment; Transfer.** Neither the SBE nor the GARS may assign or transfer any obligation or right hereunder without the written approval of the other. Any attempted assignment in violation of this provision shall render this agreement void, null, and of no further effect.
- C. **Modification.** No modification, amendment, supplement to or waiver of this agreement or any of its provisions shall be binding upon a party hereto, unless made in writing, reviewed by each designated agent, and duly signed by each party.

- D. **Counterparts**. This agreement may be executed in two or more counterparts, each of which shall be deemed to be an original, but all of which together shall constitute one and the same instrument.
- E. **Headings**. The headings of the sections and paragraphs found in this agreement are inserted for convenience only and shall not control or affect the meaning or construction of any of the provisions in this agreement.
- F. **Further Assurances**. The SBE and the GARS agree to do such further acts and things, and to execute and deliver such additional agreements and instruments, as any party may reasonably request of the other to carry out the provisions and purposes of this agreement or any related agreements.

IN WITNESS WHEREOF, the parties hereto have caused this agreement to be executed individually or by its duly authorized agent as of the _____ day of _____, 2016.

DATE: _____ **ILLINOIS STATE BOARD OF ELECTIONS**

Executive Director

DATE: _____ **GENERAL ASSEMBLY RETIREMENT SYSTEM**

Executive Secretary

**STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

2329 S. MacArthur Blvd.
Springfield, Illinois 62704-4503
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph St., Ste. 14-100
Chicago, Illinois 60601-3232
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
John R. Keith
Betty J. Coffrin
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

MEMORANDUM

To: Chairman Scholz
Vice Chairman Gowen
Members of the Board
Steve Sandvoss, Executive Director

From: Kenneth R. Menzel, General Counsel

Re: Required Review of the Minutes of Executive Sessions

Date: November 1, 2016

Pursuant to 5 ILCS 120/2.06 (d) of the Open Meetings Act, every public body is required to review the minutes of their closed meetings to determine whether or not there is a need for continuing confidentiality of such minutes. In accordance with this requirement, the Executive Director and I reviewed the minutes of the Executive Sessions of the State Board of Elections for the period of April 15, 2016 thru September 19, 2016, and have concluded that the necessity of confidentiality does not exist as to such minutes.

Please note that the release of the minutes of the Executive Sessions for public inspection does not automatically release the verbatim recording of such Sessions. A specific authorization of the Board would be required to release such recordings.

Respectfully submitted,

Kenneth R. Menzel, General Counsel

**STATE BOARD OF ELECTIONS
INTER-OFFICE MEMORANDUM**

From the desk of:

Jeremy Kirk, Director of Administrative Services/CFO

TO: Members of the Board & Steve Sandvoss
SUBJECT: FY16/FY17 Fiscal Notes
DATE: November 7, 2016

FY16 (as of 10/31/16) Invoices still outstanding and unpaid:

General Revenue Fund (001)

*Town and Country	\$268,357.50
Communication Revolving Fund	\$106,871.42
CDW-G	\$ 94,484.62
Anchor Computer	\$ 19,923.47
Statistical Revolving Fund	\$ 19,506.25
CDS Office Technology	\$ 19,375.62
Midwest Litigation	\$ 11,169.50
Various other vendors	\$ 97,906.28
**Prompt Pay Interest	\$ 2,385.03
Total (GRF)	\$639,979.69

* June rent to be paid from Budget Stabilization fund in November.

**Amount does not include prompt pay interest on the outstanding payments. The total amount is unknown.

HAVA Fund (206)

Prompt Pay Interest	\$15,860.63
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All FY16 HAVA payments have been processed, with the exception of interest.

FY17 (as of 10/31/16) Invoices still outstanding and unpaid:

General Revenue Fund (001)

Town & Country	\$169,200.00
CDW	\$ 19,275.44
Various other vendors	\$ 60,726.73
Total (GRF)	\$249,202.17

NOTE: All Travel vouchers are being processed on an ongoing basis as they are received. See Financial Statements for amounts.

HAVA Fund (206)

NOTE: All HAVA invoices are being processed on an ongoing basis as they are received. See Financial Statements for amounts.

STATE BOARD OF ELECTIONS
FY17 MONTHLY FISCAL REPORT
General Revenue Fund

AGENCY TOTALS MONTH ENDING: October 31, 2016		FY17 ORIGINAL APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	*	\$4,612,500.00	\$1,207,132.83	\$0.00	\$3,405,367.17	26.17%
STATE PAID RETIREMENT	*	\$184,800.00	\$47,295.70	\$0.00	\$137,504.30	25.59%
RETIREMENT (inc. supplemental)	*	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	*	\$353,200.00	\$88,477.30	\$0.00	\$264,722.70	25.05%
IVRS LUMP SUM - OPERATIONS	*	\$836,500	\$174,906.82	\$0.00	\$661,593.18	20.91%
SUB-TOTAL (OPERATIONS)		\$5,987,000.00	\$1,517,812.65	\$0.00	\$4,469,187.35	25.35%
GENERAL REVENUE FUND (STOP GAP) - FY16		\$250,000.00	\$206,488.85	\$0.00	\$7,959.18	96.82%
GENERAL REVENUE FUND (STOP GAP) - FY17			\$35,551.97	\$0.00		
BUDGET STABILIZATION FUND (STOP GAP)		\$80,000.00	\$0.00	\$0.00	\$80,000.00	0.00%
SUB-TOTAL (STOP GAP BUDGET)		\$330,000.00	\$242,040.82	\$0.00	\$87,959.18	73.35%
HAVA MAINTENANCE OF EFFORT		\$0.00	\$0.00	\$0.00	\$0.00	0.00%
CO CLERK & RECORDER STIPENDS (FUND 802)		\$799,500.00	\$0.00	\$0.00	\$799,500.00	0.00%
ELECTION JUDGE REIMBURSEMENT (FUND 802)		\$5,000,000.00	\$0.00	\$0.00	\$5,000,000.00	0.00%
IVRS LUMP SUM - GRANTS		\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (GRANTS)		\$5,799,500.00	\$0.00	\$0.00	\$5,799,500.00	0.00%
GRAND TOTAL		\$12,116,500.00	\$1,759,853.47	\$0.00	\$10,356,646.53	14.52%

*- Personal Service amounts shown as "FY17 Original Appropriation" are FY17 Budget Submission amounts. SBE is operating under court order for FY17 Payroll expenditures.

NOTE: ALL FY17 APPROPRIATIONS AND EXPENDITURE AUTHORITY END 12/31/16.

STATE BOARD OF ELECTIONS
FY16/17 MONTHLY FISCAL REPORT
Stop-Gap

AGENCY TOTALS
MONTH ENDING: Sept. 30, 2016

	<u>FY16/17 ORIGINAL</u> <u>STOP GAP APPROP</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
<u>General Revenue Stop-Gap</u>					
CONTRACTUAL SERVICES		\$169,575.97	\$0.00		67.83%
TRAVEL		\$56,253.82	\$0.00		22.50%
PRINTING		\$10,519.72	\$0.00		4.21%
COMMODITIES		\$5,171.33	\$0.00		2.07%
EQUIPMENT		\$519.98	\$0.00		0.21%
TELECOMMUNICATIONS		\$0.00	\$0.00		0.00%
OPERATION OF AUTO EQUIPMENT		\$0.00	\$0.00		0.00%
ELECTION CODE BOOKS		\$0.00	\$0.00		0.00%
IVRS LUMP SUM - OPERATIONS		\$0.00	\$0.00		0.00%
INTEREST PAYMENTS		\$0.00	\$0.00		0.00%
*SUB-TOTAL GENERAL REVENUE STOP-GAP	\$250,000.00	\$242,040.82	\$0.00	\$7,959.18	96.82%
<u>Budget Stabilization Stop-Gap</u>					
CONTRACTUAL SERVICE		\$0.00	\$0.00		0.00%
TRAVEL		\$0.00	\$0.00		0.00%
PRINTING		\$0.00	\$0.00		0.00%
COMMODITIES		\$0.00	\$0.00		0.00%
EQUIPMENT		\$0.00	\$0.00		0.00%
TELECOMMUNICATIONS		\$0.00	\$0.00		0.00%
OPERATION OF AUTO EQUIPMENT		\$0.00	\$0.00		0.00%
ELECTION CODE BOOKS		\$0.00	\$0.00		0.00%
IVRS LUMP SUM - OPERATIONS		\$0.00	\$0.00		0.00%
INTEREST PAYMENTS		\$0.00	\$0.00		0.00%
*SUB-TOTAL BUDGET STABILIZATION STOP-GAP	\$80,000.00	\$0.00	\$0.00	\$80,000.00	0.00%
*GRAND TOTAL STOP-GAP	\$330,000.00	\$242,040.82	\$0.00	\$87,959.18	73.35%

*The FY16/17 Stop-Gap Appropriation was given as lump sum amounts, it was not broken down by expenditure line item (ie, Contractual Services, Travel, ect).

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AGENCY TOTALS	SECTION 101 <u>Discretionary</u> <u>Funds</u>	SECTION 102 <u>Entitlement</u> <u>Payments</u>	SECTION 261 <u>EAID</u> <u>Disbursements</u>	SECTION 251 <u>Requirements</u>	SECTION 251 <u>Additional</u> <u>Requirements</u>	TOTAL <u>Fund Activity</u>
<u>MONTH ENDING: October 31, 2016</u>						
CASH BALANCE FROM FY16	\$472,725.68	\$0.00	\$0.00	\$663,164.07	\$1,314,191.79	\$2,450,081.54
Program Revenues from Federal Govt						\$0.00
Miscellaneous Revenues				\$529.55		\$529.55
Interest Earned on IOC Balances	\$702.03			\$989.31	\$2,050.66	\$3,742.00
Interest Penalties Received						\$0.00
EAC-Mandated Transfers of Interest						\$0.00
State Match Receipts						\$0.00
TOTAL CASH REVENUES	\$702.03	\$0.00	\$0.00	\$1,518.86	\$2,050.66	\$4,271.55
YEAR TO DATE CASH EXPENDITURES						
PERSONAL SERVICE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
STATE-PAID RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$63,861.00	\$0.00	\$0.00	\$119,868.71	\$0.00	\$183,729.71
TRAVEL	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$162.50	\$0.00	\$0.00	\$0.00	\$0.00	\$162.50
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SUB-TOTAL CASH EXPENDITURES (OPERATIONS)	\$64,023.50	\$0.00	\$0.00	\$119,868.71	\$0.00	\$183,892.21
AWARDS & GRANTS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TOTAL CASH EXPENDITURES	\$64,023.50	\$0.00	\$0.00	\$119,868.71	\$0.00	\$183,892.21
CASH BALANCE AT END OF MONTH	\$409,404.21	\$0.00	\$0.00	\$544,814.22	\$1,316,242.45	\$2,270,460.88

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SECTION 101 - DISCRETIONARY FUNDS	FY17	YEAR TO DATE	
<u>MONTH ENDING: October 31, 2016</u>	<u>APPROPRIATION</u>	<u>EXPENDITURE</u>	
PERSONAL SERVICE			
1129 State Paid Retirement			
1161 Retirement			
1170 Social Security			
1180 Group Insurance			
CONTRACTUAL SERVICE			
1205 Freight Express/Drayage			
1223 Repair & Maintenance Real Property			
1225 Repair & Maintenance, EDP Equip.	\$2,850.00	\$2,850.00	
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC			
1242 Auditing & Management Services			
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC			
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)			
1276 Registration Fees & Conf. Exp. (Employee)			
1277 Association Dues	\$61,011.00	\$61,011.00	
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software			
1286 Travel, Reimb. to Non-State Employees			
1289 Contractual Services, NEC			
TRAVEL			
1291 In-State & 1293 In-State (to vendor)			
1292 Out-of-State			
1295 Mileage			
PRINTING			
COMMODITIES			
1304 Office/Library Supplies			
1308 Educational & Instructional Materials			
1394 Office/Library Equip., Not Exceed. \$100			
1398 Equipment, NEC			
1399 Commodities, NEC			
EQUIPMENT			
1510 Office Furniture & Equipment			
1515 EDP Equipment			
1561 Training Equipment			
6625 Leasehold Improvements			
TELECOMMUNICATIONS			
1721 Rental, Telephone Serv. & Equip.	\$162.50	\$162.50	
1722 Rental, Data Comm. Serv. & Equip.			
1728 Video Conferencing			
1729 Rental, Other Comm. Serv. & Equip.			
1730 Parts & Supplies for Telephone			
1740 Answering & Pag. Comm. Serv. Equip.			
1750 Telephone, Data, Radio & Other Equip.			
1799 Telecommunication Services, NEC			
OPERATION OF AUTO EQUIPMENT			
1893 Repair & Maint., Automotive Equipment			
1894 Parts & Fittings, Automotive Equipment			
1896 Gasoline, Oil & Antifreeze			
1898 Automotive Services, NEC			
1899 Automotive Expenses, NEC			
INDIRECT COST REFUNDS			
1993 Indirect Cost Allocation Refund			
GRANTS			
4453 Reimbursement to Governmental Units			
4458 Services, NEC			
4470 Grants to Local Governments (PPA)			
4470 Grants to Local Governments (EAI)			
4470 Grants to Local Governments (AAG)			
4470 Grants to Local Governments			
4479 Payments to Other State Agencies			
<u>SECTION 101 GRAND TOTAL</u>	<u>FY17</u>	<u>YEAR TO DATE</u>	<u>OBLIGATED</u>
	<u>APPROPRIATION</u>	<u>EXPENDITURE</u>	<u>MONEY</u>
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$63,861.00	\$63,861.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$162.50	\$162.50	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	
TOTAL	\$64,023.50	\$64,023.50	\$0.00

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SECTION 102 - ENTITLEMENT PAYMENTS
MONTH ENDING: October 31, 2016

FY17
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

GRANTS

4453 Reimbursement to Governmental Units
4458 Services, NEC
4470 Grants to Local Governments, NEC

SECTION 102 GRAND TOTAL	FY17 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	
TOTAL	\$0.00	\$0.00	\$0.00

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SECTION 261 - EAID DISBURSEMENTS
MONTH ENDING: October 31, 2016

FY17
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1394 Office/Library Equip., Not exc. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Materials, Exhibits & Educ. Equip.
1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Governmental Units
4470 Grants to Local Governments (VAID II)
4470 Grants to Local Governments (VAID III)
4470 Grants to Local Governments (VAID IV)
4470 Grants to Local Governments (VAID V)

SECTION 261 GRAND TOTAL	FY17 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	
TOTAL	\$0.00	\$0.00	\$0.00

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SECTION 251 - REQUIREMENTS
MONTH ENDING: October 31, 2016

	FY17 APPROPRIATION	YEAR TO DATE EXPENDITURE
PERSONAL SERVICE		
1129 State Paid Retirement		
1161 Retirement		
1170 Social Security		
1180 Group Insurance		
CONTRACTUAL SERVICE		
1205 Freight Express/Drayage		
1223 Repair & Maintenance Real Property		
1225 Repair & Maintenance, EDP Equip.	\$9,698.25	\$9,698.25
1231 Rental, Office Equipment		
1232 Rental, Motor Vehicles		
1237 Rental, Film & Audio/Visual Aids		
1239 Rental, NEC		
1242 Auditing & Management Services	\$105,535.50	\$105,535.50
1243 Book Binding & Processing Services		
1244 Legal Fees		
1245 Professional & Technical Services, NEC	\$2,586.36	\$2,586.36
1261 Postage		
1266 Court Reporting & Filing Services		
1273 Advertising		
1274 Registration Fees & Conf. Exp. (Vendor)		
1276 Registration Fees & Conf. Exp. (Employee)		
1280 Copying, Photographic & Printing Serv.		
1284 Computer Software	\$2,048.60	\$2,048.60
1289 Contractual Services, NEC		
TRAVEL		
1291 In-State		
1292 Out-of-State		
PRINTING		
COMMODITIES		
1304 Office/Library Supplies		
1308 Educational & Instructional Materials		
1394 Office/Library Equip., Not Exceed. \$100		
1398 Equipment, NEC		
1399 Commodities, NEC		
EQUIPMENT		
1510 Office Furniture & Equipment		
1515 EDP Equipment		
1561 Training Equipment		
6625 Leasehold Improvements		
TELECOMMUNICATIONS		
1721 Rental, Telephone Serv. & Equip.		
1722 Rental, Data Comm. Serv. & Equip.		
1728 Video Conferencing		
1729 Rental, Other Comm. Serv. & Equip.		
1730 Parts & Supplies for Telephone		
1740 Answering & Pag. Comm. Serv. Equip.		
1750 Telephone, Data, Radio & Other Equip.		
1799 Telecommunication Services, NEC		
OPERATION OF AUTO EQUIPMENT		
1893 Repair & Maint., Automotive Equipment		
1894 Parts & Fittings, Automotive Equipment		
1896 Gasoline, Oil & Antifreeze		
1898 Automotive Services, NEC		
1899 Automotive Expenses, NEC		
INDIRECT COST REFUNDS		
1993 Indirect Cost Allocation Refund		
GRANTS		
4453 Reimbursement to Govt Units (AVE)		
4453 Reimbursement to Govt Units (Phase III)		
4453 Reimbursement to Govt Units (ALA)		
4453 Reimbursement to Govt Units (EDG)		
4470 Grants to Local Governments (VRS)		
4479 Payments to Other State Agencies		

SECTION 251 (OLD REQ) GRAND TOTAL	FY17 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$119,868.71	\$119,868.71	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	
TOTAL	\$119,868.71	\$119,868.71	\$0.00

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SECTION 251 - ADDITIONAL REQUIREMENTS
MONTH ENDING: October 31, 2016

FY17
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Govt Units (AVE)
4453 Reimbursement to Govt Units (Phase II)
4453 Reimbursement to Govt Units (ALA)
4453 Reimbursement to Govt Units (EDG)
4470 Grants to Local Governments (Phase III)
4479 Payments to Other State Agencies

SECTION 251 (NEW REQ) GRAND TOTAL

FY17
APPROPRIATION


YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$0.00	\$0.00
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00
GRANTS	\$0.00	\$0.00

TOTAL	\$0.00	\$0.00	\$0.00
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Start Date	End Date	Division	Activity
10/31/2016		CAMP DISC	Date upon which the State Board of Elections shall notify political committees of the 2016 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS and the deadline that an assessment will be levied against the committee for failure to file in a timely fashion. Rules and Regulations 125.425.
10/31/2016		ELEC OP	Last day for the SBE to request Department of Public Health to provide information certified pursuant to the Illinois Nursing Home Care Act, the Specialized Care Act, or the ID/DD Community Care Act (not less than 120 days before election) shall indicate the approved bed capacity and the name of the chief administrator of the facility. This is to obtain a current list of facilities for the February 28th, 2017 Election, and for the April 4th, 2017 Consolidated Election 10 ILCS 5/19A-15
10/31/2016		VRS	Send verification letter to election authorities to confirm the precinct data for their 5% retabulation. 10 ILCS 5/24B-15, 24C-15
10/31/2016		VRS	Last day for election authority to notify public of time, date, and place of the General Election. 10 ILCS 5/24B-9
11/3 /2016		VRS	Last day to conduct SBE tests of automatic tabulating equipment. 10 ILCS 5/24B-15
11/7 /2016		CAMP DISC	Last day of period during which any receipt of a contribution of \$1000 or more by a committee participating in the general election, must be reported with its receipt. 10 ILCS 5/9-10(c).
11/7 /2016		CAMP DISC	Last day of period during which independent expenditures of \$1000 or more by a committee must be reported electronically within two business days after the expenditure. 10 ILCS 5/9-10(e).
11/7 /2016		ELEC OP	Last day for early voting at the office of the Election Authority and local Election Authority. 10 ILCS 5/19A-15
11/7 /2016		VRS	Last day for election authorities to send a sealed copy of each tested program for the election to the State Board of Elections. The SBE secures the programs for the election. 10 ILCS 5/24B-9
11/8 /2016		ELEC OP	Last day of grace period registration, change of address and voting. 10 ILCS 5/19A-15
11/8 /2016		ELEC OP	GENERAL ELECTION
11/9 /2016		VRS	Destroy the sealed program or programs from the previous election of the General Election. 10 ILCS 5/24B-9

Start Date	End Date	Division	Activity
11/29/2016	12/1 /2016	LEG	(dates subject to change) Veto Session
11/29/2016		LEG	Link report due from LEO's to the SBE. 10 ILCS 5/19-20, 20-20.
11/30/2016		ELEC OP	Civic Organization certification cutoff date prior to the February 28th, 2017 (15 days before next election) Certification cutoff date continues through the Consolidated Election except for those jurisdictions not having a primary election (Board Rule 207.50)
12/1 /2016		ELEC OP	Begin preparing 2017 FEDERAL AND STATE OFFICERS booklet and update Assembly member room assignments and telephone number information to meet our schedule. Target completion date: 3/30/2017. Continual updates after publication is complete 10 ILCS 5/1A-8 (1,2,11)
12/1 /2016		ELEC OP	Send letters to the County Clerks to obtain information required for the publication and update SBE website. Not all the information may be available by the schedule. Target completion date: 2/1/2017. Continual updates will be required until complete 10 ILCS 5/1A-8 (1,2,6,11)
 12/5 /2016		ELEC OP	Objection deadline filing for candidates who filed November 21-28, 2016
12/5 /2016		VRS	Notify election authorities of requirement to submit computerized voter registration December 15, 2016 submission in a format prescribed by the SBE
12/5 /2016		VRS	The Board will refer to the Attorney General of Illinois for compliance with the law whose computerized voter registration submission has not been received by the December 15, 2016 submission
12/9 /2016		ELEC OP	Complete proclamation for each office elected at the General Election and Generate material for the "Official Vote" publication
12/9 /2016		ELEC OP	Complete CANVASS of November General Election. 10 ILCS 5/22-7
12/9 /2016		EXEC DIR	BOARD MEETING (Proclamation). 10 ILCS 5/22-7
12/9 /2016		LEG	Post Election Day Survey due from the LEO's to the SBE.
12/12/2016	12/19/2016	ELEC OP	Filing period for municipal candidates, educational service region trustees, library, fire and the Fox Waterway Agency trustees. 10 ILCS 5/10-6

Start Date	End Date	Division	Activity
12/15/2016		CAMP DISC	The last day that the State Board of Elections shall notify political committees of their obligation to file the 2016 DECEMBER CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. 10 ILCS 5/9-10, 9-15
12/15/2016		VRS	First day for election authority to submit computerized voter registration information for the 2016 submission. 10 ILCS 5/4-8,5-7,6-36
12/16/2016		VRS	Complete analysis of Referenda Profile for the General Election. 10 ILCS 5/11-12
12/19/2016		LEG	Present to the Board a list of legislative proposals for introduction in the next session.
12/25/2016		VRS	Last day for election authority to submit computerized voter registration information for the 2016 submission. 10 ILCS 5/4-8,5-7,6-36
12/25/2016		VRS	Last day for election authorities to submit request for extension to file registration information for the December 15, 2016 submission. Rules and Regulations
12/27/2016		ELEC OP	Objection deadline filing for candidates who filed December 12-19, 2016
12/28/2016		VRS	Send notice to election authorities to obtain information on which jurisdictions will hold a Consolidated Primary 10 ILCS 5/1A-8 (1,2,12)
12/28/2016		VRS	Notify election authorities to send referenda information. 10 ILCS 5/1A-8 (1, 2, 12)
12/28/2016		VRS	Send notice (form) to election authorities to obtain voting system information for the Consolidated Primary Election. (Rules and Regulations)
12/28/2016		VRS	Send notice (form) to election authorities in order to obtain early voting information for the Consolidated Primary Election.
12/30/2016		CAMP DISC	First day of period during which independent expenditures of \$1000 or more must be reported electronically within two business days after the expenditure. 10 ILCS 5/9-10(e).

- 7. Comments from the general public.**
- 8. Next Board Meeting scheduled for Monday, December 5 in Chicago.**
- 9. Executive Session.**